

RULE 63 (37 CFR § 1.63) DECLARATION FOR PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "REGULATION OF 75 T CELLS TO REGULATE AIRWAY HYPERRESPONSIVENESS", the specification of which has been prepared and filed on September 28, 2000, receiving Serial No. 09/672,865, and further identified as Attorney File No. 2879-68.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability in accordance with 37 CFR 1.56(a) and (b) as set forth on the attached sheet indicated Page 3 hereof and which I have read.

I hereby claim priority benefits under 35 U.S.C. 119(e) of any provisional application(s) for patent listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information material to patentability in accordance with 37 CFR 1.56(a) and (b) which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

Application Scrial No.

Filing Date

<u>Status</u>

60/157,231

September 30, 1999

Abandoned

I hereby claim the benefit under 35 U.S.C. 120/365 of all United States and PCT international applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information material to patentability in accordance with 37 CFR 1.56(a) and (b) which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

Application Serial No.

Filing Date

Status: patented pending abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The state of

			Date 4.18.01
(1)	Inventor's Signature		<u> </u>
	Inventor's Name:	Erwin Gelfand	
	Citizenship:	Canada	
	Residence:	4275 S. Bellaire Circle	
	Residence.	Englewood, Colorado 80110	
	Post Office Address:	Same as Residence	
(2)	Inventor's Signature	Whom	Date <u>4/18/0/</u>
	· · · · · · · · · · · · · · · · · · ·	Willi K. Born	
	Inventor's Name:	Germany	
	Citizenship:	736 Elm Street	
	Residence:	Denver, Colorado 80220	
	Post Office Address:	Same as Residence	
(3)	Inventor's Signature		Date
	Inventor's Name:	Michael F. Lahn	
	Citizenship:	Germany	
	Residence:	460 Josephine Street	
	Residence.	Denver, Colorado 80206	
	Post Office Address:	Same as Residence	
(4)	Inventor's Signature		Date
	Inventor's Name:	Arihiko Kanchiro	
	Citizenship:	Japan	
	Residence:	15-28-402 Yumino-cho	•
	Residence.	Okayama, Japan 700-0817	
	Post Office Address:	Same as Residence	

(1)	Inventor's Signature		_ Date	
	Inventor's Name:	Erwin Gelfand		
	Citizenship:	Canada		
	Residence:	4275 S. Bellaire Circle		
		Englewood, Colorado 80110		
	Post Office Address:	Same as Residence		
(*)	V		Date	
(2)	Juneurous 21 Rustims			
	Inventor's Name:	Willi K. Born		
	Citizenship:	Germany		
	Residence:	736 Elm Street		
		Denver, Colorado 80220		
	Post Office Address:	Same as Residence		
(3)	Inventor's Signature	The level list.	_ Date _	1/9/01
	Inventor's Name:	Michael F. Lahn		
	Citizenship:	Germany		
	Residence:	460 Josephine Street		
		Denver, Colorado 80206		
	Post Office Address:	Same as Residence		
(4)	Inventor's Signature		_ Date _	
(7)				
	Inventor's Name:	Arihiko Kanehiro		
	Citizenship:	Japan		
	Residence:	15-28-402 Yumino-cho		
		Okayama, Japan 700-0817		
	Post Office Address:	Same as Residence		

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			Date
(1)	Inventor's Signature		
(-)	Inventor's Name: Citizenship: Residence: Post Office Address:	Erwin Gelfand Canada 4275 S. Bellaire Circle Englewood, Colorado 80110 Same as Residence	
	FOR CAMPACATA		
(2)	Inventor's Signature		Date
	Inventor's Name: Citizenship:	Willi K. Born Germany 736 Elm Street	
	Residence:	Denver, Colorado 80220	
	Post Office Address:	Same as Residence	
	Lucatora Signature		Date
(3)		Michael F. Lahn	
	Inventor's Name:	Michael F. Laur Germany	•
	Citizenship: Residence:	460 Josephine Street Denver, Colorado 80206	
	Post Office Address:	Same as Residence	
(4)	Inventor's Signature	Dinto Eassels	Date 4/18/01
	Inventor's Name; Citizenship: Residence:	Arihiko Kanehiro Japan 15-28-402 Yumino-cho Okayama, Japan 700-0817	
	Post Office Address:	Same as Residence	,

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37 CFR §1.56(a) and (b) DUTY TO DISCLOSE INFORMATION MATERIAL TO PATENTABILITY

- A patent by its very nature is affected with a publi interest. The public interest is best served, and the most effective patent examinati n occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
 - (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facic case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of a patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.*

*Note, 37 CFR §1.97(h) states: "The filing of an information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b)."



POWER OF ATTORNEY

On behalf of National Jewish Medical and Research Center, a having a principal place of business at 1400 Jackson St., Denver, Colorado 80206 being the assignee of and owning all right, title and interest in the invention entitled "REGULATION OF YOT CELLS TO REGULATE AIRWAY HYPERRESPONSIVENESS," for which a provisional application for Letters Patent of the United States has been made by Erwin Gelfand, Willi K. Born, Michael F. Lahn, and Arihiko Kanehiro, said application having been filed on September 28, 2000, receiving Serial No. 09/672,865, and further identified as Attorney File No. 2879-68, I, James D. Crapo, M.D. EVP, Academic Affairs of National Jewish Medical and Research Center, hereby appoint David F. Zinger, Registration No. 29,127; Craig C. Groseth, Registration No. 31,713; Michael L. Tompkins, Registration No. 30,980; Sabrina C. Stavish, Registration No. 33,374; Todd P. Blakely, Registration No. 31,328; Lewis D. Hansen, Registration No. 35,536; Joseph E. Kovarik, Registration No. 33,005; Gary J. Connell, Registration No. 32,020; Wannell M. Crook, Registration No. 31,071; Douglas W. Swartz, Registration No. 37,739; Bruce A. Kugler, Registration No. 38,942; Robert R. Brunelli, Registration No. 39,617; Richard L. Hughes, Registration No. 31,264; Tejpal S. Hansra, Registration No. 38,172; Dana L. Hartje, Registration No. 40,638; Angela Dallas-Pedretti, Registration No. 42,460; Benjamin B. Lieb, Registration No. 42,801; Bradley M. Knepper, Registration No. 44,189; Theresa A. Brown, Registration No. 32,547; Miriam R. Drickman, Registration No. 42,499, Dennis J. Dupray, Registration No. P-46,299, and Todd M. Petersen, Registration No. 45,580, of SHERIDAN ROSS P.C., 1560 Broadway, Suite 1200, Denver, Colorado 80202-5141, telephone number (303) 863-9700, as attorneys and agents for National Jewish Medical and Research Center with full powers of substitution, association and revocation to prosecute the application and related U.S. and foreign applications and to transact all business in the United States Patent and Trademark Office and all foreign and international patent offices connected therewith.

National Jewish Medical and Research Center certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above, a copy of which is attached. The undersigned has reviewed the assignment of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: /2/13/00

Name: James D. Crapo, M.S.

File: Executive VP for Academic Affairs

ASSIGNMENT

WHEREAS, we, Erwin Gelfand of 4275 S. Bellaire Circle, Englewood, Colorado 80110, Willi K. Born of 736 Elm Street, Denver, Colorado 80220, Michael F. Lahn of 460 Josephine Street, Denver, Colorado 80206, and Arihiko Kanehiro of 15-28-402 Yumino-cho, Okayama, Japan 700-0817 have invented a certain new and useful invention entitled "REGULATION OF yot CELLS TO REGULATE AIRWAY HYPERRESPONSIVENESS," for which application for Letters Patent of the United States has been prepared and filed on September 28, 2000, receiving Serial No. 09/672,865, and further identified as Attorney File No. 2879-68;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignce, National Jewish Medical and Research Center, having a place of business at 1400 Jackson St., Denver, Colorado 80206, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in forcign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than National Jewish Medical and Research Center and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to National Jewish Medical and Research Center, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 4.18.0)	Ву:
Date	Epwin Gelfand
Date: 4/18/01	By: Whi- Mily
	Willi K. Born
Date:	Ву:
	Michael F. Lahn

STATE OF COLORADO)	•			
COUNTY OF DENER	·)				
Before mc, a Notary known to me to be the person to me that he executed the sa	n whose name	is subscribed to	o the foregoing inst	rument, and ack	in Gelfand, nowledged
Given under my han	d and seal of o	ffice this 18^{9}	day of agr	il	_, 200 ∮ .
		My commiss	y Public Sion expires:	3/14/05	
STATE OF COLORADO)				
COUNTY OF DENIER) ss.)		•		
Before me, a Notary -known to me to be the perso to me that he executed the sa	n whose name	is subscribed t	o the foregoing inst	trument, and ack	lli K. Born, nowledged
Given under my han	d and seal of o	ffice this 19	day of apr	il	_, 200∯.
•	•	<u>Cache</u> Nota My commis	ry Public 5.03	114/05	
STATE OF COLORADO)) ss.	ı			
COUNTY OF)				
Before me, a Notary known to me to be the person to me that he executed the s	on whose name	is subscribed t	o the foregoing ins	trument, and ack	ael F. Lahn mowledged
Given under my han	id and seal of o	ffice this	day of		_, 2000.
			ry Public		
		RAY commit	sion expires:		

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ASSIGNMENT

WHEREAS, we, Erwin Gelfand of 4275 S. Bellaire Circle, Englewood, Colorad 80110, Willi K. Born of 736 Elin Street, Denver, Colorado 80220, Michael F. Lahn of 460 Josephine Street, Denver, Colorado 80206, and Arihiko Kanehiro of 15-28-402 Yumino-cho. Okayama, Japan 700-0817 have invented a certain new and useful invention entitled "REGULATION OF yot CELLS TO REGULATE AIRWAY HYPERRESPONSIVENESS," for which application for Letters Patent of the United States has been prepared and filed on September 28, 2000, receiving Serial No. 09/672,865, and further identified as Attorney File No. 2879-68;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, National Jewish Medical and Research Center, having a place of business at 1400 Jackson St., Denver, Colorado 80206, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in forcign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than National Jewish Medical and Research Center and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to National Jewish Medical and Research Center, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date:	By:Erwin Gelfand
Date: 1/10/2001	By:
Date: 1/10/2001	By: Michael F. Lahn

PAGE 10 PAGE 6

STATE OF COLORADO)) ss.				
COUNTY OF)				
Before me, a Notary known to me to be the perso to me that he executed the s	n whose nami	e is subscrib	ed to the joyege	ang menumung a	nio acidioto=6
Given under my han	d and seal of	office this _	day of		, 2000.
	•				
			Notary Public		
	-	My com	mission expire	B: <u></u>	
STATE OF COLORADO)) ss.		•		
COUNTY OF)				
to me that he executed the s					
,			Notary Public		
In low was a rul		My con	mission expin	:s:	
STATE OF COLORADO COUNTY OF MARION)) ss. \)	·			
Before me, a Notary known to me to be the pers to me that he executed the	on whose nan same for the p	ne is subscri purposes and	bed to the lore; consideration	going instrument, s therein expresse	d.
Given under my ha	nd and scal of	foffice this	day o	r Johnsky	
		Land	ML AU Notary Rublic nmission expir	Mongan	Laure L. Morgan

Date: 4/18/01

By: Arihita Kanehiro

WITNESS

Thinch Mules